

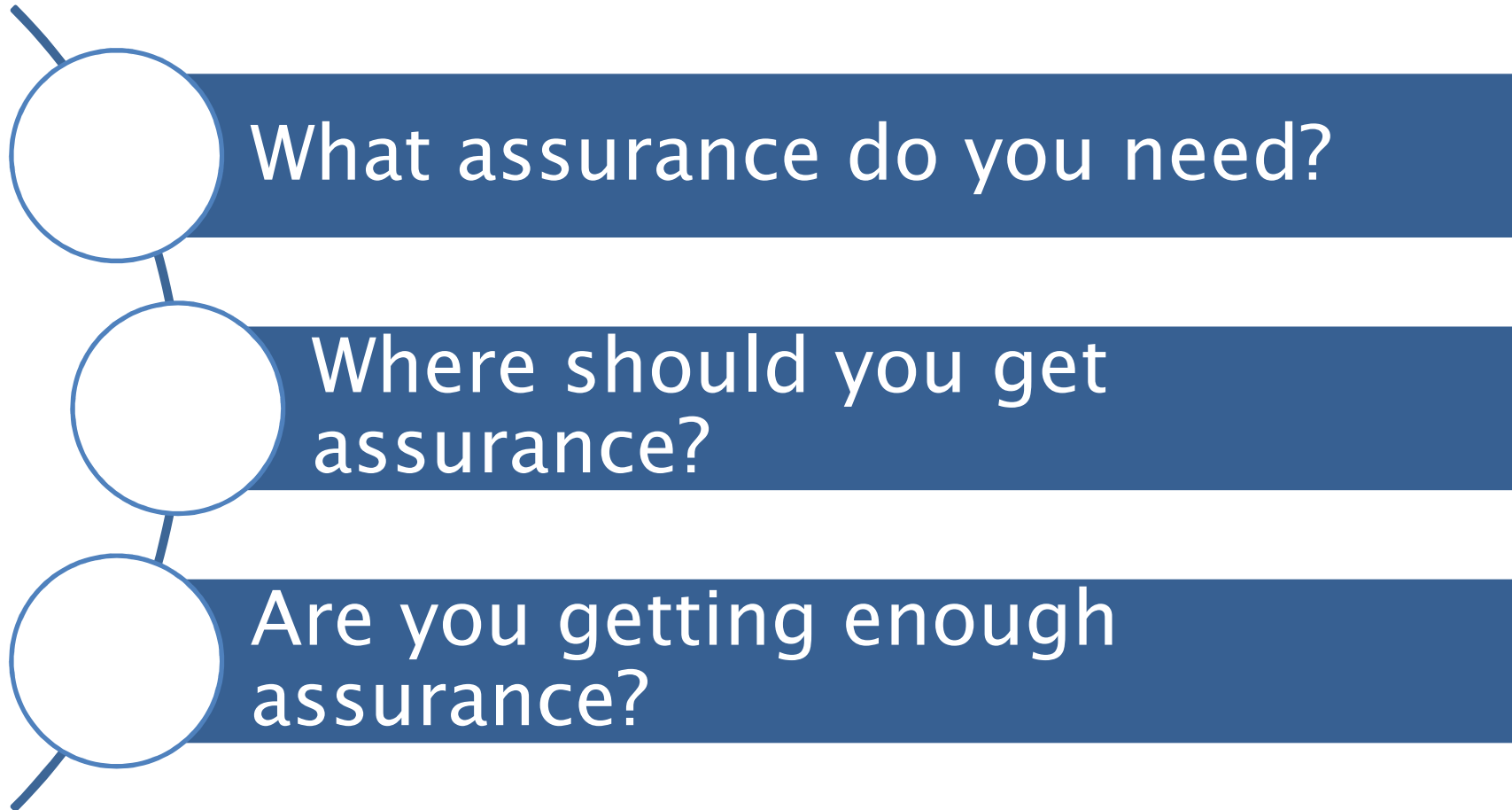


Corporate Governance Committee

Self-assessment

January 2016

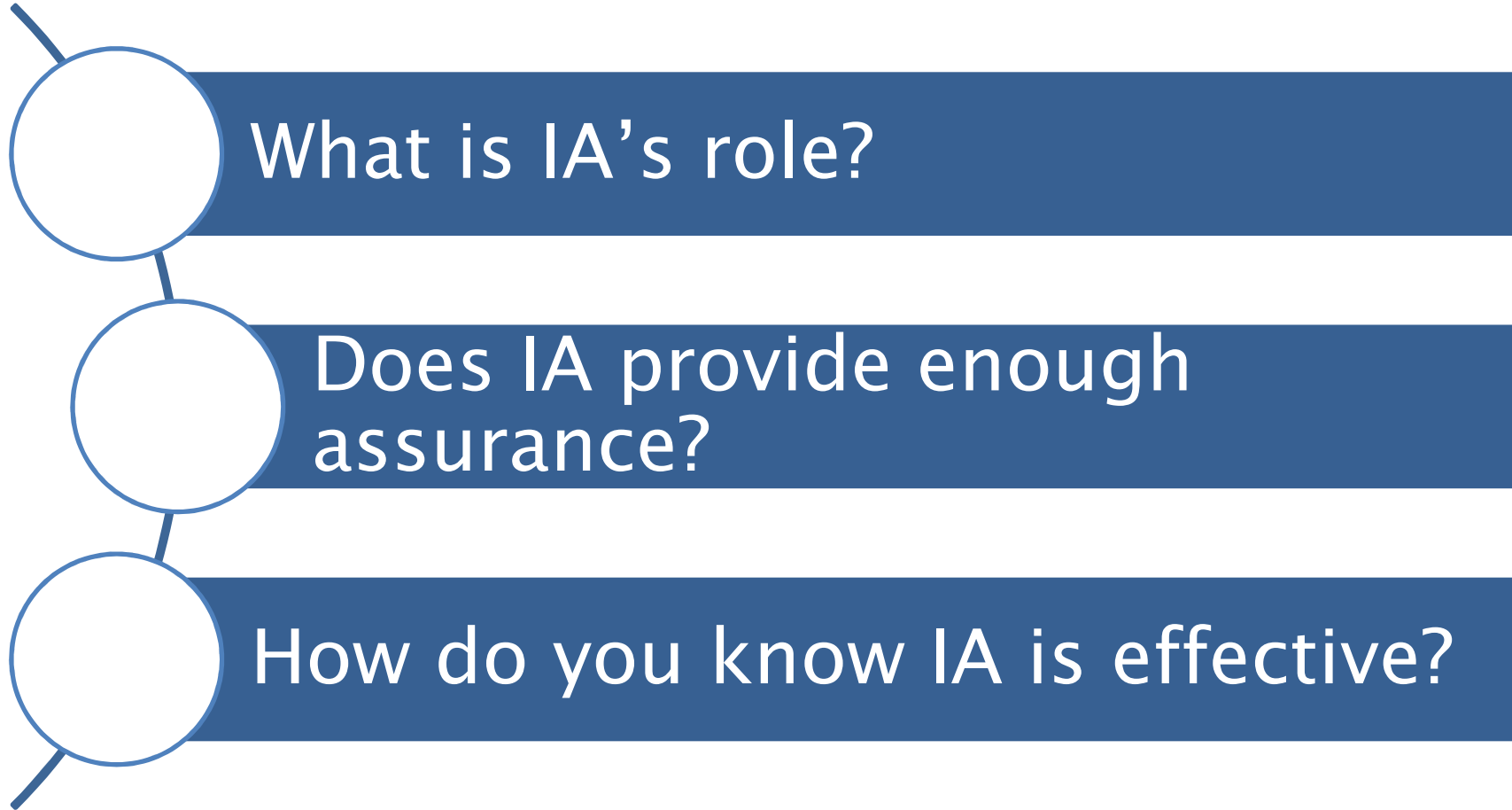
Assurance

- 
- What assurance do you need?
 - Where should you get assurance?
 - Are you getting enough assurance?

Governance Framework

- What is the governance framework?
- Are you involved enough in its development?
- Can you confidently challenge the content of the Annual Governance Statement?

Internal Audit

- 
- What is IA's role?
 - Does IA provide enough assurance?
 - How do you know IA is effective?


Internal Audit

Does IA provide you with enough information in the right format?

Do you need more from IA?

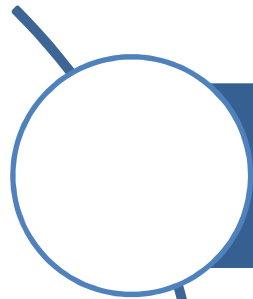
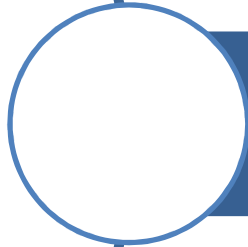

Should you meet with the HIAS at least once a year in private?

Challenge




**How well do you
robustly
challenge senior
management
when needed?**

Risk Management

-  How well do you understand the risk management framework?
-  Do you know what the Council's corporate risks are?
-  How confident are you that the Council is managing its corporate risks?

Risk Management



What are this
Committee's/Perfor
mance Scrutiny's
roles relating to risk
management?

Fraud



How much do you know about fraud trends in the public sector?



What are the Councils key fraud risks?



What counter-fraud arrangements does the Council have in place?

Ethics



What arrangements are in place to ensure good ethical behaviour by officers and members?

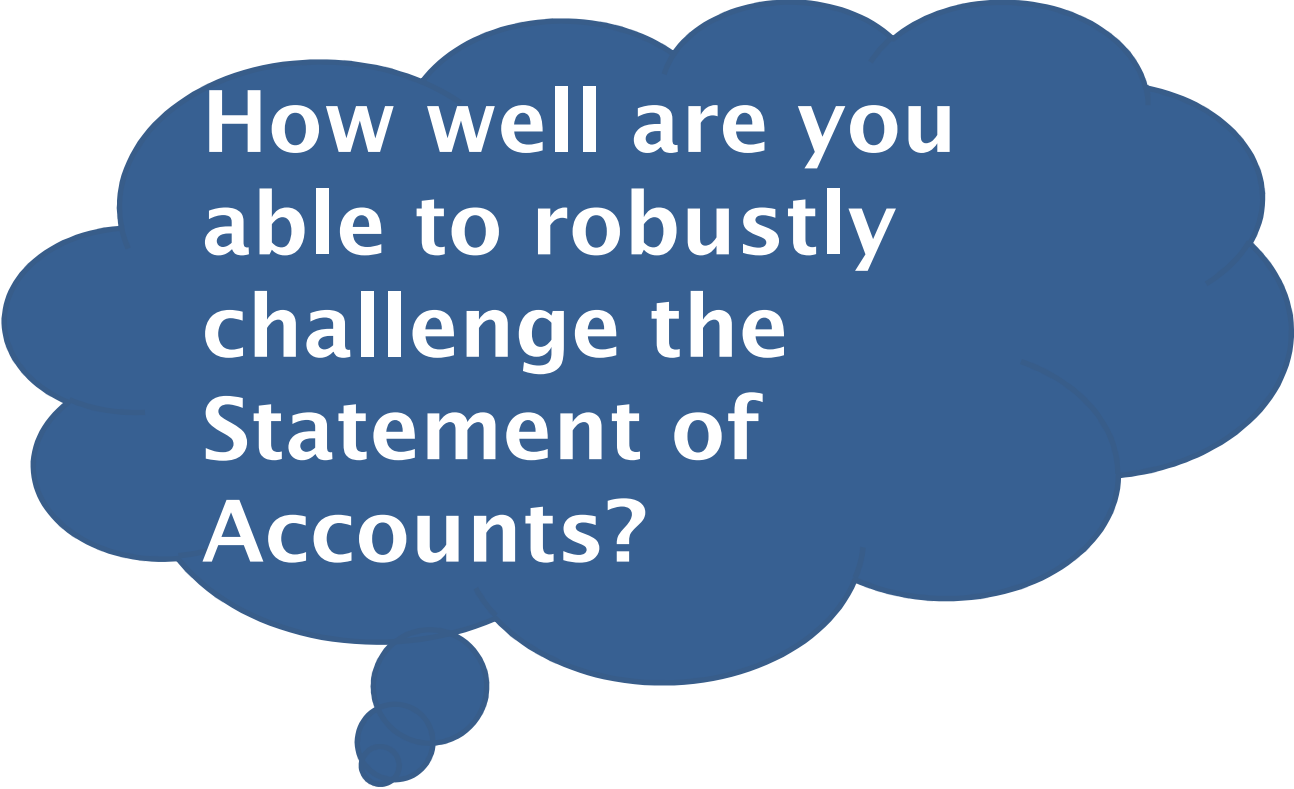


How confident are you that these arrangements work in practice?

Financial Management

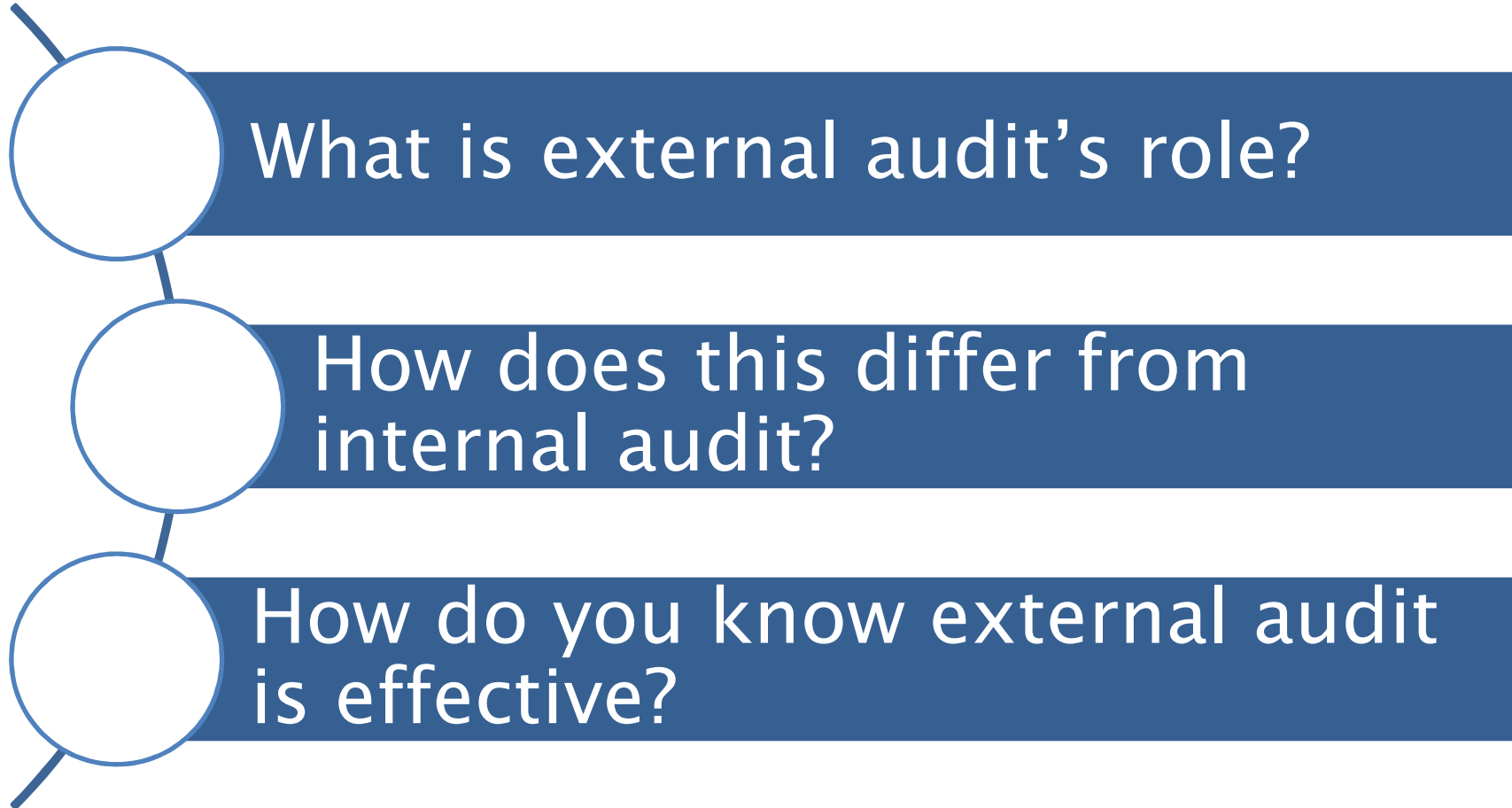
- What financial risks does the Council face?
- What are the specific risks relating to Treasury Management?
- How well do you understand the Statement of Accounts?

Financial Management

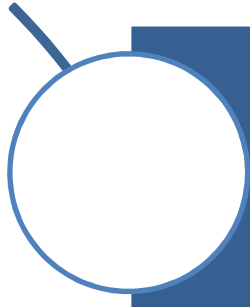


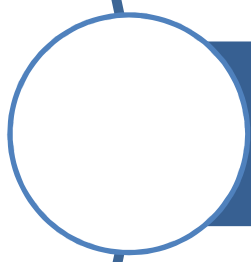
**How well are you
able to robustly
challenge the
Statement of
Accounts?**

External Audit

- 
- What is external audit's role?
 - How does this differ from internal audit?
 - How do you know external audit is effective?

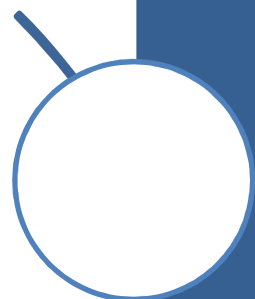
External Audit

 Does external audit provide you with enough information in the right format?

 Do you need more from external audit?

 Should you meet with the external auditor at least once a year in private?

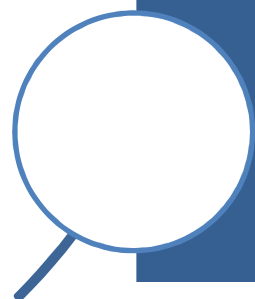
Committee Knowledge



Is the Chair confident in his knowledge of finance, risk management, audit and the Council's regulatory regime?



How well are you trained for your role?



Should new committee members have induction training in their new role?

Committee Support

How well do officers support you in meetings and provide advice and guidance?

Are you adequately prepared for the Committee meetings?

Can written/verbal reports to the committee be improved?